

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Stumphauzer, William C. II

U.S. Serial No.:

09/900,935

Filed:

July 9, 2001

For:

SYSTEM AND METHOD FOR CREATING AND RECEIVING

PERSONALIZED BROADCASTS

Art Unit:

2684

Examiner:

Tan H. Trinh

**Box RCE** 

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box RCE, Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450 on:

June 16, 2**0**06

Signature. Aaron S. Haleva, Reg. No. 44,733

## INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Copies of the listed references are also enclosed for the convenience of the Examiner. Applicants respectfully request that the listed references be made of record in this patent application. Applicant notes that the cited Treytl reference is not dated. However, in the Foreword section (on page x) of the reference, Dr. Heinz Riesenhuber refers to events that will occur in 1986.

Although no fee is believed to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Information Disclosure Statement, the Director is hereby authorized to charge any fee(s) deemed necessary to Deposit Account No. 50-0540.

Date: June 16, 2006

Aaron S. Haleva, Reg. No. 44,733

Kramer Levin Naftalis & Frankel LLP

1177 Avenue of the Americas

New York, N.Y. 10036

Respectfully submitted,

(212) 715-9100 (telephone)

(212) 715-8000 (facsimile)

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 99908/0020		SERIAL NO. 09/900,935	
LIST OF REFERENCES CITED BY APPLICANT OF PESS several sheets if necessary)							
46				APPLICANTS			
/ = \				Stumphauzer, William C. II			
( y 8002 0 & NUI.				FILING DATE		GROUP ART UNIT	
				July 9, 2001		2684	
U.S. PATENT DOCUMENTS							
HADEM							FILING
						O. I.D.	DATE IF
EXAMINER	:	DOCUMENT			07.400	SUB-	APPROPRI
INITIAL		NUMBER	DATE	NAME	CLASS	CLASS	ATE
	AA				<u> </u>	<u> </u>	
	AB					<del>                                     </del>	
	AC		· · · · · · · · · · · · · · · · · · ·			-	
FOREIGN PATENT DOCUMENTS							
	Ι		FUREIGN PA	ENT DOCUMENTS	-T	T	<u> </u>
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA TION_
	BA						
	BB						
	BC						
	BD						
	BE				<del> </del>	<u> </u>	ļ <u>.</u>
	BF						
	BG				<u> </u>	<u></u>	L
OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Digitaler Hörfunk Über Rundfunksatelliten					
		Digital Sound Service for Direct Broadcasting Satellites,					
		P. Treytl, Editor Information brochure by the Federal Minister of Records and Technology					
	CA	Bonn, Federal Republic of Germany					
	CB	Doini, I cuciai Ke	paone of German	-			
	CC	<del> </del>					
	CD						<u> </u>
	CE						
	CG						_
	CH						
-	CI	-					
EXAMINER		<u> </u>	· · · · · · · · · · · · · · · · · · ·	DATE CONSIDER	ED		
* <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							